

# Enumerative Combinatorics in Coq/MathComp: Formal Power Series and the example of Catalan numbers

Florent Hivert

LISN / Université Paris Saclay / CNRS

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# Outline

`https://github.com/hivert/FormalPowerSeries`

- 1** Enumerative Combinatorics
- 2** Combinatorial Classes and the Symbolic Method
- 3** Formalizing Formal Power Series
- 4** The example of Catalan numbers
- 5** Conclusion

## Flajolet-Sedgwick Analytic combinatorics

### Figure I.2.

### The prehistory of Catalan numbers.

1. On September 4, 1751, Euler writes to his friend Goldbach [196]:

*Ich bin neulich auf eine Betrachtung gefallen, welche mir nicht wenig merkwürdig vorkam. Dieselbe betrifft, auf wie vielerley Arten ein gegebenes polygonum durch Diagonalinien in triangula zerchnitten werden könne.*

I have recently encountered a question, which appears to me rather noteworthy. It concerns the number of ways in which a given [convex] polygon can be decomposed into triangles by diagonal lines.

Euler then describes the problem (for an  $n$ -gon,

i.e.,  $(n - 2)$  triangles) and concludes:

*Setze ich nun die Anzahl dieser verschiedenen Arten =  $x$  [...]. Hieraus habe ich nun den Schluss gemacht, dass generaliter sey*

Let me now denote by  $x$  this number of ways [...]. I have then reached the conclusion that in all generality

$$x = \frac{2.6.10.14....(4n - 10)}{2.3.4.5....(n - 1)}$$

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[...] *Ueber die Progression der Zahlen 1, 2, 5, 14, 42, 132, etc. habe ich auch diese Eigenschaft angemerket, dass  $1 + 2a + 5a^2 + 14a^3 + 42a^4 + 132a^5 + \text{etc.} = \frac{1-2a-\sqrt{1-4a}}{2a}$ .*

[...] Regarding the progression of the numbers 1, 2, 5, 14, 42, 132, and so on, I have also observed the following property:  $1 + 2a + 5a^2 + 14a^3 + 42a^4 + 132a^5 + \text{etc.} = \frac{1-2a-\sqrt{1-4a}}{2a}$ .

Thus, as early as 1751, Euler knew the solution as well as the associated **generating function**. From his writing, it is however unclear whether he had found complete proofs.

2. In the course of the 1750s, Euler communicated the problem, together with initial elements of the counting sequence, to Segner, who writes in his publication [146] dated 1758: "The great Euler has benevolently communicated these numbers to me; the way in which he found them, and the law of their progression having remained hidden to me" ["*quos numeros mecum benevolus communicavit summus Eulerus; modo, quo eos reperit, atque progressionis ordine, celatis*"]. Segner develops a recurrence approach to Catalan numbers. By a root decomposition analogous to ours, on p. 35, he proves (in our notation, for decompositions into  $n$  triangles)

$$(4) \quad T_n = \sum_{k=0}^{n-1} T_k T_{n-1-k}, \quad T_0 = 1,$$

a recurrence by which the Catalan numbers can be computed to any desired order. (Segner's work was to be reviewed in [197], anonymously, but most probably, by Euler.)

3. During the 1830s, Liouville circulated the problem and wrote to Lamé, who answered the next day(!) with a proof [399] based on recurrences similar to (4) of the explicit expression:

$$(5) \quad T_n = \frac{1}{n+1} \binom{2n}{n}.$$

Interestingly enough, Lamé's three-page note [399] appeared in the 1838 issue of the *Journal de mathématiques pures et appliquées* ("Journal de Liouville"), immediately followed by a longer study by Catalan [106], who also observed that the  $T_n$  intervene in the number of ways of multiplying  $n$  numbers (this book, §I.5.3, p. 73). Catalan would then return to these problems [107, 108], and the numbers 1, 1, 2, 5, 14, 42, ... eventually became known as the **Catalan numbers**. In [107], Catalan finally *proves* the validity of Euler's generating function:

$$(6) \quad T(z) := \sum_n T_n z^n = \frac{1 - \sqrt{1 - 4z}}{2z}.$$

# Catalan numbers

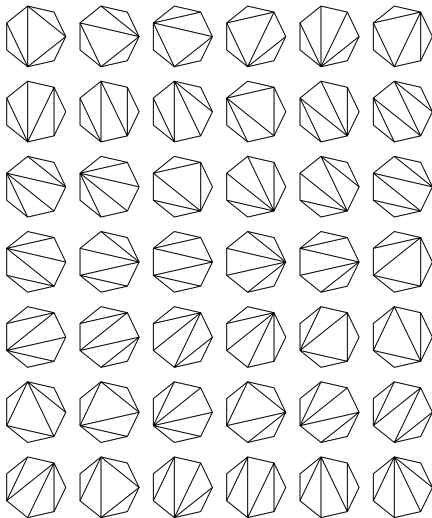
Polygon triangulations

1, 1, 2, 5, 14, 42, 132 ...

$$T_0 = 1,$$

$$T_n = \sum_{k=0}^{n-1} T_k T_{n-1-k}$$

$$T_n = \frac{1}{n+1} \binom{2n}{2}$$



## catalan

Euler, Segner, Liouville, Catalan, ...

$$\begin{aligned} T(z) &:= \sum_n T_n z^n = 1 + z + 2z^2 + 5z^3 + 14z^4 + 42z^5 + 132z^6 + \dots \\ &= \frac{1 - \sqrt{1 - 4z}}{2z} \end{aligned}$$

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# Enumerative Combinatorics

A short introduction from an algorithmic point of view

Counting and generating combinatorial objects

## Example of finite sets...

$n$ -bits sequences:

0 1

00 01 10 11

000 001 010 011 100 101 110 111

0000 0001 0010 0011 0100 0101 0110 0111

1000 1001 1010 1011 1100 1101 1110 1111

Cardinality (number of elements): <https://oeis.org/A000079>

$2^n$  : 1, 2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, 2048, 4096 ...



Permutation of  $[1, 2, \dots, n]$ 

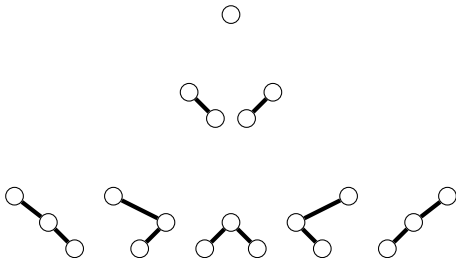
1

12 21

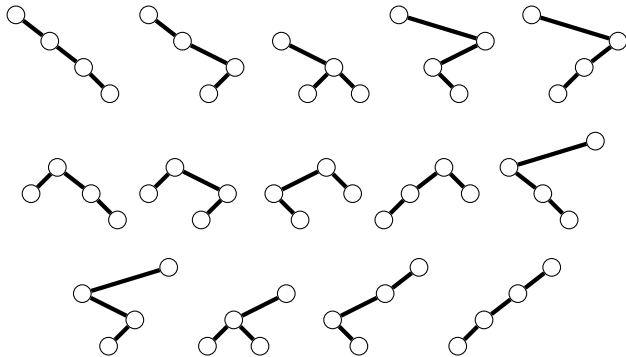
123 132 213 231 312 321

1234 1243 1324 1342 1423 1432 2134 2143 2314 2341 2413 2431  
3124 3142 3214 3241 3412 3421 4123 4132 4213 4231 4312 4321Cardinality (number of elements): <https://oeis.org/A000142> $n!$  : 1, 2, 6, 24, 120, 720, 5040, 40320, 362880, 3628800, 39916800 ...

## Binary trees with $n$ nodes



## Binary trees with $n$ nodes

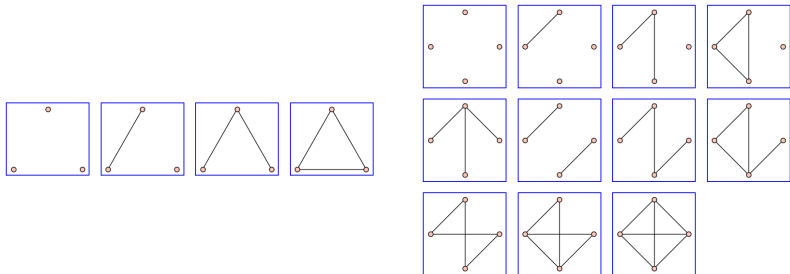


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$\text{Cat}(n) : 1, 2, 5, 14, 42, 132, 429, 1430, 4862, 16796, 58786, 208012 \dots$

## Unlabelled graphs with $n$ vertices

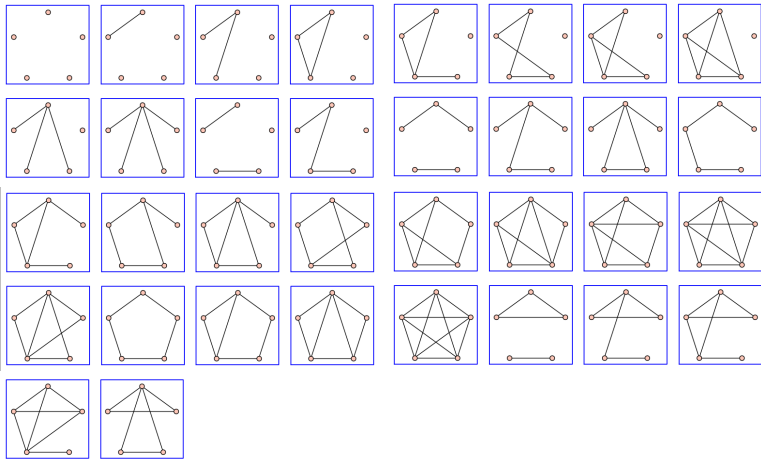
Unlabelled = upto isomorphism



Cardinality (number of elements): <https://oeis.org/A000088>

$Gr(n)$  : 1, 2, 4, 11, 34, 156, 1044, 12346, 274668, 12005168, 1018997864...

## Unlabelled graphs with 5 vertices:



## More «real life examples»

- XML document with  $n$  balises
- $n$  character program in C
- possible execution pathes in a code

# Combinatorial Generation

## Question

### Find efficient algorithms

- count, find the list, iterate
- fair random sampling

### Application:

- **search of a solution** using brute force or randomization
- analysis of algorithms, **complexity computation**
- **tests**, fuzzing
- biology, chemistry, statistical physics

## Standard references



- Frank Ruskey, *Combinatorial Generation* doi:10.1.1.93.5967, 2003, unpublished
- A. Nijenhuis and H.S. Wilf, *Combinatorial algorithms*, 2nd ed., Academic Press, 1978  
<http://www.math.upenn.edu/~wilf/website/CombinatorialAlgorithms.pdf>
- P. Flajolet and R. Sedgewick, *Analytic Combinatorics*, Cambridge University Press, 2009. Electronic version  
<http://algo.inria.fr/flajolet/Publications/AnaCombi/book.pdf>
- The On-Line Encyclopedia of Integer Sequences <http://oeis.org>
- A list of combinatorial software:  
<https://www.mat.univie.ac.at/~slc/divers/software.html>



## Generic algorithms

### Question

How to avoid *ad hoc* solution for each and every type of combinatorial objects ?

- **basic components**  $\implies$  singleton, union, cartesian product, set and multiset, cycle...
- **combine** the basic components  $\implies$  combinatorial class, description grammar

Today: Only counting !

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## Notion of combinatorial class

### Definition (Combinatorial class)

A *combinatorial class*, is a finite or denumerable set  $\mathcal{C}$  whose elements  $e$  have a size (also called degree) noted  $|e|$ , satisfying the following conditions:

- the size of an element is a non-negative integer
- the number of elements of any given size is finite

$$\text{card}\{\in \mathcal{C} \mid |e| = n\} < \infty$$

Example:

- Binary trees where size is the number of nodes

# Generating series

## Definition

The (ordinary) *generating series* of a sequence  $(a_n)_n$  is the formal power series

$$A(z) := \sum_{n=0}^{\infty} a_n z^n .$$

The *generating series* of a combinatorial class  $\mathcal{A}$  is the generating series of the numbers  $a_n := \text{card}(\mathcal{A}_n)$ . Equivalently,

$$A(z) = \sum_{\alpha \in \mathcal{A}} z^{|\alpha|} .$$

## The graded disjoint union

If  $\mathcal{C} = \mathcal{A} \sqcup \mathcal{B}$ , the elements of  $\mathcal{A}$  and  $\mathcal{B}$  keep their size in the graded disjoint union:

$$\mathcal{C}_n := \mathcal{A}_n \sqcup \mathcal{B}_n$$

Therefore

$$\text{card } \mathcal{C}_n = \text{card } \mathcal{A}_n + \text{card } \mathcal{B}_n$$

### Note

The generating series of a disjoint union is the sum of generating series:

$$C(z) = A(z) + B(z).$$



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$$C(z) = A(z) + B(z).$$

## The graded Cartesian product

Idea: sizes (cost, number of memory locations, ...) are added:

$$|(a, b)|_{\mathcal{A} \times \mathcal{B}} := |a|_{\mathcal{A}} + |b|_{\mathcal{B}}$$

$$\text{If } \mathcal{C} = \mathcal{A} \times \mathcal{B} \text{ then } C_n = \bigsqcup_{i+j=n} \mathcal{A}_i \times \mathcal{B}_j$$

Cardinality:

$$\text{card } C_n = \sum_{i+j=n} \text{card } \mathcal{A}_i \cdot \text{card } \mathcal{B}_j$$

$$[z^n](A(z) \cdot B(z)) = \sum_{i+j=n} [z^i]A(z) \cdot [X^j]B(z)$$

### Note

The generating series of a cartesian product is the product of the generating series:

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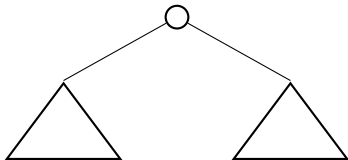
$$C(z) = A(z) \cdot B(z).$$

## A dictionary : Comb. Classes / Gen. Fun. (unlabeled case)

Neutral ( $ \epsilon  = 0$ )	$\mathcal{E} = \{\epsilon\}$	$E(z) = 1$
Atom ( $ \bullet  = 1$ )	$\mathcal{Z} = \{\bullet\}$	$Z(z) = z$
Disjoint Union	$\mathcal{A} = \mathcal{B} \sqcup \mathcal{C}$	$A(z) = B(z) + C(z)$
Cartesian product	$\mathcal{A} = \mathcal{B} \times \mathcal{C}$	$A(z) = B(z) \cdot C(z)$
Sequence	$\mathcal{A} = \text{Seq}(\mathcal{B})$	$A(z) = \frac{1}{1 - B(z)}$
Powerset	$\mathcal{A} = \text{PSet}(\mathcal{B})$	$A(z) = \exp\left(\sum_{k=1}^{\infty} \frac{(-1)^{k-1}}{k} B(z^k)\right)$
Multiset	$\mathcal{A} = \text{MSet}(\mathcal{B})$	$A(z) = \exp\left(\sum_{k=1}^{\infty} \frac{1}{k} B(z^k)\right)$
Cycle	$\mathcal{A} = \text{Cycle}(\mathcal{B})$	$A(z) = \sum_{k=1}^{\infty} \frac{\phi(k)}{k} \log \frac{1}{1 - B(z^k)}$

## Example : Binary trees

Size = number of internal nodes



$$\text{BinTree} = \{\epsilon\} \sqcup \text{BinTree} \times \mathcal{Z} \times \text{BinTree}$$

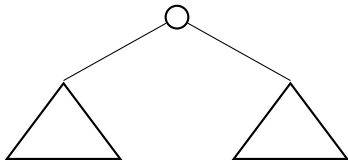
$$T(z) = 1 + T(z) \cdot z \cdot T(z) = 1 + z \cdot T(z)^2$$

Solution by radicals (quadratic equation):

$$T(z) = \frac{1 - \sqrt{1 - 4z}}{2z}$$

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## Summary: Why generating series ?

### Note (The symbolic method)

- describe combinatorial objects by **grammars** using basic elementary constructions
- translate these grammar to **systems of functional equations** on generating series
- «solve» **these systems** by algebraic manipulation allows to extract the coefficients

Going further: **asymptotic analysis** thanks to **complex analysis**

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## What are power series ?

$K$  : a ring.

### Definition

The ring  $K[[X]]$  of **formal power series** is the set of sequences  $(a_n)_n$ , written as  $\sum_n a_n X^n$  together with the natural sum, and product.

More structures: derivation, integration, substitution...

Problem: series are infinite objects

- Impossible to store one in a finite data structure
- Equality cannot be decided (MathComp requirement)

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## Two possible implementations

### Note

- **truncated formal power series** : For a fixed  $n$ , we only know coefficients upto  $x^n$
- infinite **formal power series** : we store all the coefficient but we need **infinite objects and classical axioms**

Remark: this is also a problem in Computer Algebra Systems

## Power series in Sagemath

```
sage: F.<z> = LazyPowerSeriesRing(QQ) # coeff. computed on demand
sage: T = 1/(1-z); T
Uninitialized lazy power series
sage: T[3]
1
sage: T
1 + z + z^2 + z^3 + 0(x^4)
sage: T[5]
1
sage: T
1 + z + z^2 + z^3 + z^4 + z^5 + 0(x^6)

sage: FF.<x> = PowerSeriesRing(QQ) # truncated (to X^20 by default)
sage: T = 1/(1-x)
sage: T
1 + x + x^2 + x^3 + x^4 + x^5 + x^6 + x^7 + x^8 + x^9 +
x^10 + x^11 + x^12 + x^13 + x^14 + x^15 + x^16 + x^17 +
x^18 + x^19 + 0(x^20)
sage: T[40]
IndexError: coefficient not known
```

## Truncated formal power series

### Definition

*The ring  $K[[X]]_n$  of  $n$  th-Truncated power series is the quotient ring  $K[X]/\langle x^n \rangle$ .*

Major rewrite of the code from Cyril Cohen and Boris Djalal to adapt it to any ring (e.g.  $\mathbb{Z}$ ) – not just a field.

Truncation = cutting a list (instead of taking the remainder in the Euclidian division).

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## Truncated formal power series

Definition:

---

```
Variable R : ringType.  
Variable n : nat.  
Record truncfps := MkTfps { tfps : {poly R}; _ : size tfps <= n.+1 }.
```

---

Extracting coefficients:

---

```
Implicit Types (p q r s : {poly R}) (f g : {tfps R n}).  
  
Lemma coef_tfps f i : f`_i = if i <= n then f`_i else 0.  
Lemma tfpsP f g : (forall i, i <= n -> f`_i = g`_i) <-> (f = g).
```

---

## Truncated formal power series (2)

### Polynomial truncation

---

**Fact** `trXn_subproof p` : `size (Poly (take n.+1 p)) <= n.+1`.

**Definition** `trXn p` := `MkTfps (trXn_subproof p)`.

---

gives the ring structure:

---

**Lemma** `trXn_mul p q` :

`trXn n (tfps (trXn n p) * tfps (trXn n q)) = trXn n (p * q)`.

**Fact** `one_tfps_proof` : `size (1 : {poly R}) <= n.+1`.

**Definition** `one_tfps` : `{tfps R n} := Tfps_of one_tfps_proof`.

**Definition** `mul_tfps f g` := `trXn n (tfps f * tfps g)`.

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---

## More structure on formal power series

Example: if  $R$  is a unitary ring then  $R[[X]]_n$  is too.

---

Variable  $R$  : unitRingType.

Definition unit\_tfps : pred {tfps R n} := fun f => f`\_0 \in GRing.unit.

---

Definition by fixed point:

---

Fixpoint inv\_tfps\_rec f bound m :=

  if bound is b.+1 then

    if (m <= b)%N then inv\_tfps\_rec f b m

    else -f`\_0%N<sup>-1</sup> \* (\sum\_(i < m) f`\_i.+1 \* (inv\_tfps\_rec f b (m - i.+1)%N))

  else f`\_0%N<sup>-1</sup>.

Definition inv\_tfps f : {tfps R n} :=

  if unit\_tfps f then [tfps i <= n => inv\_tfps\_rec f i i] else f.

---

Lemma mul\_tfpsVr : {in unit\_tfps, right\_inverse 1 inv\_tfps \*%R}.

Lemma mul\_tfpsrV : {in unit\_tfps, left\_inverse 1 inv\_tfps \*%R}.

---

## More structure on formal power series

### Example: formal derivative

---

**Definition** `deriv_tfps f := [tfps j <= n.-1 => f`_j.+1 ** j.+1].`

`deriv_tfps`  
`: {tfps R n} -> {tfps R n.-1}`

---

### Classical formulas

---

**Fact** `derivD_tfps f g : (f + g)^`() = f^`()%tfps + g^`()%tfps.`

**Theorem** `derivM_tfps n (f g : {tfps R n}) :`  
`(f * g)^`() = f^`()%tfps * (trXnt n.-1 g) + (trXnt n.-1 f) * g^`()%tfps.`

---

All the formula for analysis:

- primitive
- exponential, logarithm
- composition  $F(G(X))$  (needs  $G_0 = 0$  no convergence).

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## Infinite power series

Using the classical axiom from Mathcomp's analysis.

### Problem

One can construct them **from scratch**, but one has to **redo all the work of polynomials**.

### Question

Is there a way to get infinite power series from truncated one ?

Limit of  $K[[X]]_n$  as  $n$  tends to infinity ? In what sense ?

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## Categorical Limit

Suitable notion: (Categorical) Inverse Limit.

**Warning (Inverse limit  $\neq$  topological limit)**

Algebraic notion of limit coming from category theory  $\neq$  notion of convergence of the series  $F(X)$  for a given value of  $X$ .

In particular:

- There is **no topology involved**;
- We **don't care about the radius of convergence** of a series:  
The series  $\sum_n n!z^n$  is a perfectly valid power series, though its convergence radius is 0.
- The motto here is: all coefficients must be computed using **only finitely many** algebraic operation.



## Inverse (projective) systems

- $I$ : ordered directed set – only need nonnegative integers.
- In an algebraic category (e.g. ring with ring morphisms)

### Definition

An *inverse system* is given by

- *Objects*: a sequence  $(A_i)_{i \in I}$
- *Bonding morphisms*: for each  $i \leq j$  a morphism  $\phi_{i,j} : A_j \mapsto A_i$  (*beware the inverse direction*).

such that for all  $i \in I$ , the morphism  $\phi_{i,i}$  is the identity and

- *Compatibility*: for all  $i \leq j \leq k$ , one has  $\phi_{i,j} \circ \phi_{j,k} = \phi_{i,k}$

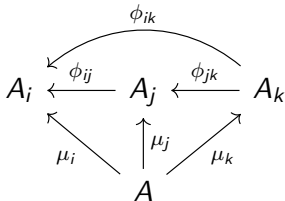
$$\begin{array}{ccccc} & & \phi_{ik} & & \\ & & \curvearrowleft & & \\ & & & & \\ A_i & \xleftarrow{\phi_{ij}} & A_j & \xleftarrow{\phi_{jk}} & A_k \end{array}$$

## Inverse (projective) systems

### Definition

An *inverse limit* of an inverse system, is given by

- *Object*:  $A$
- *Projection morphisms*: for each  $i$  a morphism  $\mu_i : A \mapsto A_i$ .  
such that
- *Compatibility*: for all  $i \leq j$ , one has  $\phi_{i,j} \circ \mu_j = \mu_i$

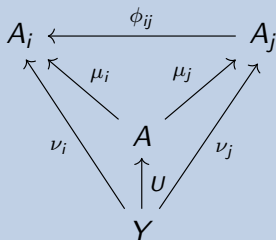


## Inverse limits universal property

### Theorem

Inverse limit *do exists and are unique* (upto isomorphism) in most algebraic categories.

Universal property:



## Proof of the abstract nonsense

Dealing with concrete categories (Objects are Sets).

### Definition

A *cone* of an inverse system is a sequence  $c = (c_i)_{i \in I}$  where  $x_i \in A_i$  such that

$$\phi_{i,j}(c_j) = c_i.$$

Defining

- $A$  : the set of cones
- $\mu_i(c) := c_i$ .

constructs indeed an inverse limit !

## Inverse systems and limits in Mathcomp

---

```
Variable Obj : I -> Type.
Variable bonding : (forall i j, i <= j -> Obj j -> Obj i).
Record invsys : Type := InvSys {
  invsys_inh : I;
  invsys_id : forall i (Hii : i <= i), (bonding Hii) =1 id;
  invsys_comp : forall i j k (Hij : i <= j) (Hjk : j <= k),
    (bonding Hij) \o (bonding Hjk) =1 (bonding (le_trans Hij Hjk));
}.

(* The Mixin formalizes the universal property *)
Record mixin_of (TLim : Type) := Mixin {
  invlim_proj : forall i, TLim -> Obj i;
  invlim_ind : forall (T : Type) (f : forall i, T -> Obj i),
    (cone Sys f) -> T -> TLim;
  _ : cone Sys invlim_proj;
  _ : forall T (f : forall i, T -> Obj i) (Hcone : cone Sys f),
    forall i, invlim_proj i \o invlim_ind Hcone =1 f i;
  _ : forall T (f : forall i, T -> Obj i) (Hcone : cone Sys f),
    forall (ind : T -> TLim),
      (forall i, invlim_proj i \o ind =1 f i) ->
        ind =1 invlim_ind Hcone
}.

```

## Back to power series

---

```
Definition fps_bond := fun (i j : nat) of (i <= j)%0 => @trXnt R j i.
```

```
Record fpseries := FPSeries { seriesfun : nat -> R }.
```

```
Definition fpsproj n (f : {fps R}) : {tfps R n} := [tfps i <= n => f``_i].
```

```
Lemma fpsprojP : cone fps_invsys fpsproj.
```

```
Notation "'pi_' i" := (fpsproj i).
```

```
Lemma fpsprojE x y : (forall i : nat, 'pi_i x = 'pi_i y) -> x = y.
```

```
Canonical fps_invlimType := Eval hnf in InvLimType {fps R} fps_invlimMixin.
```

---

Now algebraic structures are for free:

---

```
Canonical fps_zmodType :=
```

```
  Eval hnf in ZmodType {fps R} [zmodMixin of {fps R} by <-].
```

```
Canonical fps_ringType :=
```

```
  Eval hnf in RingType {fps R} [ringMixin of {fps R} by <-].
```

```
[...]
```

---

## Back to power series

One can reuse everything from truncated power series !

Example: derivative of a product:

---

**Theorem** `derivM_tfps n (f g : {tfps R n}) :`  

$$(f * g)^{\wedge}() = f^{\wedge}()\%tfps * (\text{trXnt } n. -1 \text{ } g) + (\text{trXnt } n. -1 \text{ } f) * g^{\wedge}()\%tfps.$$

**Theorem** `derivM_fps (f g : {fps R}) :`  

$$(f * g)^{\wedge}()\%fps = f^{\wedge}()\%fps * g + f * g^{\wedge}()\%fps.$$

**Proof.**

`apply invlimE => i.`

`rewrite !(proj_simpl, proj_deriv_fps) derivM_tfps /.=.`

`by rewrite -!fps_bondE !ilprojE.`

`Qed.`

---

# Sommaire

- 1 Enumerative Combinatorics
- 2 Combinatorial Classes and the Symbolic Method
- 3 Formalizing Formal Power Series
- 4 The example of Catalan numbers**
- 5 Conclusion



## Back to Catalan numbers

### Theorem

Suppose that  $(C_i)_{i \in \mathbb{N}}$  verifies

$$C_0 = 1 \quad \text{et} \quad C_n = \sum_{k=0}^{n-1} C_k C_{n-1-k},$$

then

$$T_n = \frac{1}{n+1} \binom{2n}{n}$$

---

```
forall C : nat -> nat,  
  C 0 = 1 ->  
    (forall n : nat, C n.+1 = \sum_(i < n.+1) C i * C (n - i)) ->  
      forall i : nat, C i = 'C(i.*2, i) %/ i.+1
```

---

## Proofs in mathcomp

$$2 \times 3 + 1 = 7 \text{ proofs !}$$

### ■ Truncated or infinite power series

---

**Definition** `FC : {fps Rat} := \fps (C i)%R .X^i.`

**Proposition** `FC_algebraic_eq : FC = 1 + 'X * FC ^+ 2.`

**Definition** `FC : {tfps Rat n} := [tfps i => (C i)%R].`

**Proposition** `FC_algebraic_eq : FC = 1 + \X * FC ^+ 2.`

---

3 methods to extract the coefficients:

- generalized Newton binomial formula
- Lagrange inversion formula
- holonomic computation

+ 1 bijective proof

## Generalized Newton binomial formula

$$F(X) = \frac{1 - \sqrt{1 - 4X}}{2X}$$

### Theorem

For any  $\alpha$  (non necessarily integer):

$$(1 + X)^\alpha = \sum_n \frac{\alpha(\alpha - 1) \dots (\alpha - m + 1)}{m!} X^n$$

---

**Theorem** coef\_expricX c a m :  
 $((1 + c * X)^\alpha) \text{fps } m =$   
 $c^{m+1} * \prod_{(i < m)} (a - i) / m! :> \mathbb{R}.$

---

## General methods ?

### Problem

Newton's only works for equation which are solvable by radicals.

More general solutions:

- Lagrange inversion
- Holonomic computation

## Lagrange formula

Fact: {series starting with  $X$ } is a group for  $\circ$  (neutral  $X$ ).

### Proposition (Lagrange Inversion)

$F(X) = X + \dots$  has a **unique inverse**  $F^*$  (Lagrange inverse):

$$F(F^*(X)) = F^*(F(X)) = X.$$

Writing  $F = \frac{X}{G}$  fixed point version  $F^*(X) = X \cdot G(F^*(X))$ .

Its coefficient are given by  $[X^{i+1}](F^*) = \frac{[X^i](G^{i+1})}{i+1}$ .

For catalan:  $G(X) = (1 + X)^2$

---

**Proposition** `FC_fixpoint_eq` : `FC - 1 = lagrfix ((1 + 'X) ^+ 2)`.

---

## Holonomic computation

- $F$  is **rational** if  $F = \frac{N}{P}$  with  $N, P$  polynomials.
- $F$  is **algebraic** if there exists polynomials  $(P_0, \dots, P_d)$  s.t.

$$P_0 + P_1 F + P_2 F^2 + \dots + P_d F^d = 0.$$

- $F$  is **holonomic** if there exists polynomials  $(P_c, P_0, \dots, P_d)$  s.t.

$$P_c + P_0 F + P_1 F' + P_2 F'' + \dots + P_d F^{(d)} = 0.$$

### Theorem

*rational*  $\rightarrow$  *algebraic*  $\rightarrow$  *holonomic*.

## Catalan from holonomic computation

$$F = 1 + X \cdot F^2$$

gives

$$(1 - X^2)F + (1 - X^4)XF' = 1.$$

---

**Proposition** FC\_differential\_eq n : *(\* truncated series version \*)*  
 $(1 - X^{n+2}) * (FC\ n.\ +1) + (1 - X^{n+4}) * \text{tmulX}\ (FC\ n.\ +1)^{\wedge}() = 1.$

**Proposition** FC\_differential\_eq : *(\* infinite series version \*)*  
 $(1 - X^{n+2}) * FC + (1 - X^{n+4}) * X * FC^{\wedge}() = 1.$

---

Extracting the coefficients gives the simplest possible recurrence:

$$(n + 2)C_{n+1} = (4n + 2)C_n.$$

# Sommaire

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## Conclusion

- Once we have the formalization of power series, using them is quite simple (300 lines for the three proofs)
- I strongly regret I didn't had them for Littlewood-Richardson !
- Automation (eg: ring tactic) should make it even shorter;
- Much shorter than the bijective proofs (500 lines);
- Using truncated power series makes the proof only slightly longer (to deal with the degree bound), but the statement more complicated (degree bound, 2 different multiplications by  $X$ , keeping or adding one to the degree).
- Work in progress on limits (need help with Canonical / Mixin / HierarchyBuilder).
- Everything I presented here could be entirely automatized thanks to computer algebra (see e.g. `combstruct` Maple©).